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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/696,693
Filing Date	October 25, 2000
First Named Inventor	William Fitzpatrick et al.
Group Art Unit	2161
Examiner Name	Not yet assigned
Attorney Docket Number	4034-48

## ENCLOSURES (check all that apply)

- |   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Petitions to the Commissioner<br><input checked="" type="checkbox"/> Request For Corrected Filing Receipt With A Copy Of the Official Receipt<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement Form PTO-1449<br>____ References<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s) - ____ Sheets<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Withdrawal of attorney as Attorney or Agent<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div>Return Receipt Postcard<br/>TC 2100 MAILROOM<br/>MAY 16 2001<br/>RECEIVED</div> |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S. Restaino</i>
Date	January 17, 2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William Fitzpatrick et al. Docket No.: 4034-48  
Serial No. 09/696,693 Group No.: 2161  
Filed: October 25, 2000 Examiner: Not yet assigned  
For: SYSTEM FOR PROVIDING FINANCIAL SERVICES

Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

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☐ omitted.

Error in

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☒ Other—Applicant's claim benefit to:

Correct Data

- 1.
2. John Furlong, Plandome, NY
3. System for Providing Financial Services
- 4.
- 5.
6. 60/182,364, filed on 2/14/00

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P.O. Address

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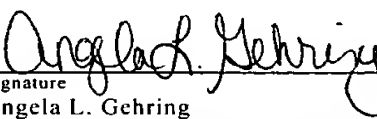
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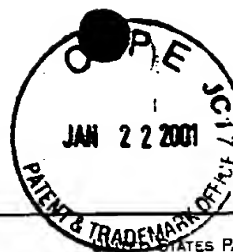
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/696,693	10/25/2000	2161	790	4034-48	3	16	4

## FILING RECEIPT



\*OC000000005652551\*

Riker Danzig Scherer Hyland & Perretti LLP  
Headquarters Plaza  
One Speedwell Avenue  
Morristown, NJ 07962

Date Mailed: 01/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s) :

William Fitzpatrick, Howell, NJ ;  
John Furlong, Plandome, NJ ;  
Robert Mauer, Levittown, NY ;  
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Kathy Fahey, Stamford, CT ;  
Mark DiStaulo, Jersey City, NJ ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/03/2001

## Title

System for providing financial services

## Preliminary Class

705

Data entry by : RORIE, DEANNA

Team : OIPE

Date: 01/04/2001



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
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